

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Akira UMEZAWA, et al.

SERIAL NO: 10/753,324

GAU: 2826

FILED: January 9, 2004

EXAMINER: Fazli Erdem

FOR: SEMICONDUCTOR MEMORY DEVICE INCLUDING MOS TRANSISTORS EACH HAVING A FLOATING GATE AND A CONTROL GATE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the reference(s) listed on the attached form PTO-1449. Copies of the listed reference(s) are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language reference(s).
- Online credit card payment is being made in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- Online credit card payment is being made in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030.

Respectfully submitted,

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|---|---------------------------------|------------------------|
| | Docket No.: 247512US2S | Serial No.: 10/753,324 |
| | Inventor: Akira UMEZAWA, et al. | |
| LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56 | Filing Date: January 9, 2004 | Group: 2826 |
| <u>LIST OF RELATED CASES</u> | | |

LIST OF RELATED CASES

| <u>Examiner Initial</u> | <u>Docket No.</u> | <u>Serial or Patent Number</u> | <u>Filing or Issue Date</u> | <u>Patent App. Publication No.</u> | <u>Inventor or Applicant</u> |
|-------------------------|-------------------|--------------------------------|-----------------------------|------------------------------------|------------------------------|
| | 247512US2S* | 10/753,324 | 01/09/04 | | UMEZAWA, et al. |
| | 306954US2SCONT | 11/733,796 | 04/11/07 | | KANDA, et al. |

Examiner _____ Date Considered _____

*Present Application; listed for information
EHK/sma
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